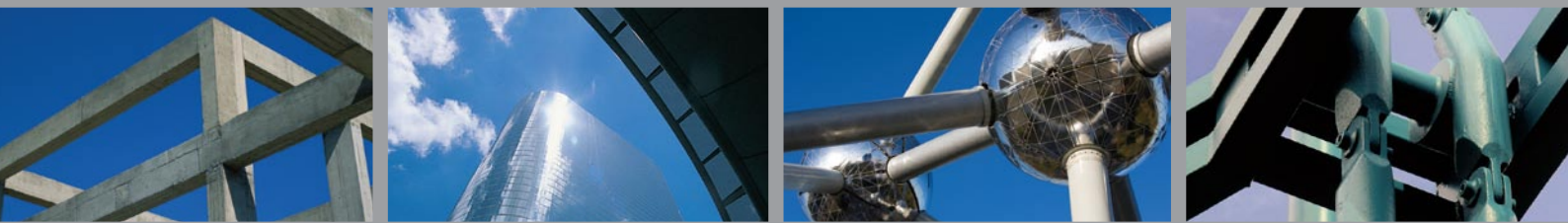


# Regulatory Due Diligence Solutions by Accuity



## Regulatory Due Diligence (RDD) Solutions Protect Your Company

There exists a legal responsibility that your business exercise due diligence to determine if funds managed by your institution are derived from a corrupt source. With over 150 years' experience supporting many of the largest banks in the world, Accuity has complete coverage of the regulatory due diligence landscape to help you remain compliant.

### What are Accuity's RDD Solutions?

Accuity offers a range of regulatory screening lists to meet the needs of many different types of companies such as banks, securities and insurance firms and financial service organisations, among others. Our RDD lists include the OFAC List, the European Union List, the Terrorist Exclusion List and the United Nations Sanctions List and contain thousands of entries that are updated frequently, often monthly or as changes occur. Each list can be purchased separately or in combinations to best fit the RDD needs of your company.

### Why do I need RDD processes?

Doing business with an entity on an RDD list is implicitly or explicitly restricted or prohibited by a government or official group. Heavy fines have been imposed on banks that conduct business, even unwittingly, with customers engaged in illicit activities. Accuity's RDD lists provide the information needed to screen for individuals and entities that have been identified through legislative or regulatory action or by executive directive as mandated by governments and regulatory agencies worldwide.

### What Accuity's RDD Solutions can do for you

The RDD lists are compiled directly from authoritative sources and often have enhancements made by Accuity, such as alternate/local spellings and translations of sanctioned entities and many more. The RDD lists are delivered ready to use within all of our screening solutions including FACFilter®, FACS® PC and FACLine™. The data contained in our lists is also suitable for use with other third-party or in-house filters. These solutions offer a variety of customer filtering, account screening and transaction monitoring options to meet your business' unique processing requirements.

By using Accuity's RDD solutions, a part of Accuity's Global WatchList® you will be fulfilling your legal responsibilities during financial transactions and ensuring the legitimacy of your company.

### Achieve absolute compliance with Accuity's RDD Solutions

### Key Features

- > Covers 100% of all regulatory sanction lists worldwide
- > Includes enhancements to source data
- > Improves "know your customer" policies
- > Reduces research and processing time
- > Customised filtering and processing reporting options
- > Allows you to conduct thorough investigations of suspicious activity
- > Same-day notification of OFAC updates

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## Specifications

### User Profile

- > Banks
- > Financial services organisations
- > Third-party payment processors
- > Payroll departments
- > Benefits disbursement
- > Individual international payment processing centers
- > Government

### Technical Specifications

- > Global WatchList is available via electronic delivery

- > **File Formats:** FACFilter® compatible, ASCII, XML

Files are delivered ready to use within Accuity's complete line of filtering solutions:

- > FACS® PC
- > iFACS
- > FACLine™
- > FACFilter® for CIF
- > iCIF
- > Mainframe FACFilter®
- > AS/400 FACFilter®
- > Windows FACFilter®
- > Java FACFilter®

## For More Information

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