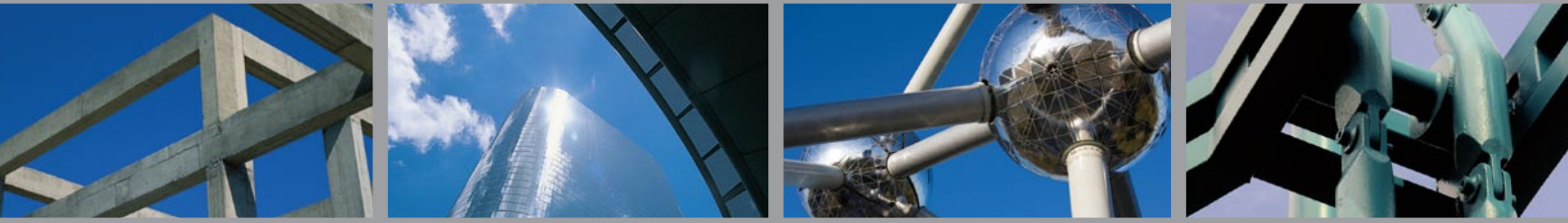


Politically Exposed Persons Due Diligence Database



Your Solution for Politically Exposed Persons (PEPs) Screening

Engaging in new business relationships exponentially elevates the risks associated with inadvertently supporting illegal activities. That is why it is important to have an assured solution that screens for persons of interest — going above and beyond your required due diligence.

What is a PEP?

A uniform definition for a “Politically Exposed Person,” or PEP does not currently exist. The Wolfsberg Group describes a PEP as a person whose current or former position can attract publicity beyond the borders of the country concerned and whose financial circumstances may be the subject of additional public interest, including such persons’ close family members and close business colleagues, personal advisors or consultants. PEPs are considered “higher risk” individuals and require an enhanced due diligence process.

Why do I need to screen for PEPs?

Heavy fines have been imposed on banks that conduct business, even unwittingly, with customers engaged in illicit activities. While doing business with an entity on a PEP list is not forbidden, it is extremely important to pay attention to entities on the list and their close associates as they potentially pose a risk of financial loss, criminal complicity and/or embarrassment.

What Accuity’s PEP Due Diligence Database can do for you

With Accuity’s PEPs Due Diligence Database, powered by WorldCompliance and a part of Accuity’s Global WatchList®, you can easily identify PEPs. It is your responsibility to determine if there are any PEPs within your clientele and if the funds you administer on their behalf originated from corrupt sources.

Accuity’s PEPs Due Diligence Database details information such as: names, aliases, date and place of birth, photographs, positions, family members, associates and company holdings. Each entity is notated with precise source links for further verification, if necessary. The PEPs Due Diligence Database also includes an automated look-up application for researching and identifying additional information from the matches that you have made.

A match between your customer’s information and Accuity’s PEPs Due Diligence Database does not mean you are obligated to refuse the business. Your best judgment allows you to decide which actions should be taken toward a particular customer’s account. You can also contact the proper authorities for additional guidance.

Accuity’s comprehensive PEP Solutions will enhance your due diligence processes

Key Features

- > Largest, most comprehensive and detailed database of its kind
 - > Easy to navigate
 - > Reduces risk
 - > Filter agnostic
-

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Specifications

User Profile

- > Compliance regulators
- > Banks
- > Securities broker-dealers
- > Financial services organisations
- > Insurance firms
- > Law firms

Technical Specifications

- > Global WatchList is available via electronic delivery
 - > XML Token
 - > **File Formats:** FACFilter® compatible, XML
- Files are delivered ready to use within Accuity's complete line of filtering solutions:
- > FACS® PC
 - > iFACS
 - > FACFilter® for CIF
 - > iCIF
 - > Mainframe FACFilter®
 - > Windows FACFilter®
 - > Java FACFilter®

For More Information

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